## CONTENTS

<table>
<thead>
<tr>
<th>Exhibits and Illustrations</th>
<th>xix</th>
</tr>
</thead>
<tbody>
<tr>
<td>Preface</td>
<td>xxv</td>
</tr>
<tr>
<td>Acknowledgments</td>
<td>xxvii</td>
</tr>
</tbody>
</table>

### UNIT I OVERVIEW

#### CHAPTER 1  
**The Lay of the Land: The Importance of Legal Research, Legal Authorities, and Research Media**

- A. The Canoga Case 3
- B. The Importance of Legal Research 5
- C. Legal Authorities 6
  1. Primary Authority 7
  2. Secondary Authority 8
  3. Finding Tools 19
- D. Research Media 19
- E. The Approach of This Book 22

#### CHAPTER 2  
**From Curiosity to Closure: Eight Cognitive Tasks**

- Introduction 24
- A. Client-Centered Curiosity 25
  1. Learn and React to Your Client's Situation 26
  2. Develop Research Terms and Research Issues 27
    a. Understanding Legal Language 27
    b. Generating Research Terms 29
    c. Using Dictionaries and Thesauri 32
    d. Formulating Legal Issues 33
- B. Content and Context 36
  3. List and Rank Potential Authorities 36
  4. Assess Available Sources 38
- C. Consultation and Creativity 39
  5. Use Your Terms and Issues to Locate Pertinent Passages 40
  6. Study Pertinent Passages 54
  7. Research Backwards and Forwards from Major Authorities 55
D. Closure
  8. Stop Researching
Summary

UNIT II SECONDARY AUTHORITIES 61

Preliminary Points 62

CHAPTER 3 ENCYCLOPEDIAS 63
A. What Is an Encyclopedia? 63
B. Why Are Encyclopedias Useful? 67
C. How Do You Research in Encyclopedias?
  • Encyclopedias in paper 67
D. What Else? 69
E. How Do You Cite Encyclopedias? 69

CHAPTER 4 TREATISES 70
A. What Is a Treatise? 70
B. Why Are Treatises Useful? 71
C. How Do You Research in Treatises?
  • Treatises in paper 71
D. What Else? 77
E. How Do You Cite Treatises? 77

CHAPTER 5 LEGAL PERIODICALS 79
A. What Is a Legal Periodical? 79
B. Why Are Legal Periodical Articles Useful? 81
C. How Do You Research in Legal Periodicals?
  • Traditional periodicals research involving an index 84
  • Periodicals in full-text key-word searching 86
  • Citating periodical articles 88
D. What Else? 88
E. How Do You Cite Legal Periodicals? 90

CHAPTER 6 A.L.R. ANNOTATIONS 91
A. What Are American Law Reports (A.L.R.) Annotations? 91
B. Why Is A.L.R. Useful? 92
C. How Do You Research in A.L.R.?
  • A.L.R. in paper 94
  • A.L.R. in LexisNexis and Westlaw 101
D. What Else? 101
E. How Do You Cite A.L.R.? 102
CHAPTER 7  RESTATEMENTS  103
A. What Are the Restatements?  103
B. Why Are Restatements Useful?  110
C. How Do You Research in Restatements?
   • Restatements in paper  110
   • Cases referring to the Restatements in paper  112
   • Restatements in Westlaw and LexisNexis  115
D. What Else?  115
E. How Do You Cite Restatements?  116

CHAPTER 8  ADDITIONAL SECONDARY AUTHORITIES AND STRATEGY  117
A. Additional Secondary Authorities  117
B. Research Strategy for Secondary Authorities  118
1. Choosing Among Secondary Authorities  118
2. Deciding Whether to Cite Secondary Authorities  120

UNIT III  CASE LAW  121

Preliminary Points  122

CHAPTER 9  REPORTERS, DIGESTS, AND THEIR ALTERNATIVES  123
A. What Is a Case?
   1. How Does a Case Come to Be Decided?  123
   2. How Are Court Systems Structured?  124
   3. What Does a Published Case Look Like?  124
B. Why Would You Research Which Cases?
   1. The Common Law, Stare Decisis, and Precedent  131
   2. Mandatory versus Persuasive Precedent: Federalism,
      Jurisdiction, and Level of Court  132
      a. Federalism and Jurisdiction  132
      b. Level of Court  133
   3. History and Treatment of the Case  133
      a. Subsequent History  134
      b. Treatment  134
   4. Publication Status  135
   5. Additional Factors  137
C. How Do You Research Cases?
   • Cases through West digests and reporters  138
   • Cases in Westlaw  151
   • Cases in LexisNexis  157
   • Cases through court websites  164
D. What Else?  165
E. How Do You Cite Cases?  168
F. Summary and Last Words  170
CHAPTER 10 CASE CITATORS

A. What Is a Case Citator, and Why Would You Use It? 171
B. How Do You Use Case Citators? 173
C. What Else? 184

UNIT IV ENACTED LAW 187

Preliminary Points 188

CHAPTER 11 CODES AND SESSION LAWS 189

A. What Are Constitutions and Statutes? 189
   1. What Is a Constitution? 189
   2. What Is a Statute? 192
   3. How Does Enacted Law Relate to Case Law? 198
B. Why Would You Research Constitutions and Statutes? 199
C. How Do You Research Constitutions and Statutes? 200
   • Statutes in annotated codes in paper 202
   • Statutes in session laws in paper 213
   • Statutes in commercial electronic services 215
   • Statutes via public websites 219
   • Local ordinances 220
D. What Else? 221
E. How Do You Cite Constitutions and Statutes? 222
F. Summary and Last Words 223

CHAPTER 12 LEGISLATIVE PROCESS MATERIALS 225

A. Introduction: The Legislative Process 225
   1. Introduction 225
   2. The Legislative Process 226
B. Legislative History 228
   1. What Are Legislative History Materials? 228
   2. Why Would You Research Legislative History Materials? 238
   3. How Do You Research Legislative History? 239
      • Legislative history in statutory codes 241
      • Legislative history in United States Code Congressional and Administrative News (U.S.C.C.A.N.) 243
      • Compiled legislative histories 245
      • Legislative history materials through LexisNexis Congressional and Congressional Information Service 247
      • Legislative history through THOMAS 256
   4. What Else? 258
   5. How Do You Cite Legislative Materials? 259
C. Pending Legislation 260
D. Concluding Points 261
UNIT V ADMINISTRATIVE MATERIALS

Preliminary Points

CHAPTER 13 REGULATIONS
A. What Is a Regulation? 267
B. Why Would You Research Regulations? 268
C. How Do You Research Regulations? 270
  • Regulations research in statutes 271
  • Regulations in a regulatory code (Code of Federal Regulations) 275
  • Regulations in an administrative register (Federal Register) 280
  • Regulations in agency websites 286
  • Regulations research in case law 286
D. What Else? 289
E. How Do You Cite Regulations? 290

CHAPTER 14 AGENCY DECISIONS
A. What Is an Agency Decision? 291
C. How Do You Research Agency Decisions? 297
  • Agency decisions research in statutes and regulations 298
  • Agency decisions in the agency's own compilation—reporter or database 300
  • Updating and validating agency decisions 301
D. What Else? 307
E. How Do You Cite Agency Decisions? 307

CHAPTER 15 MINI-LIBRARIES
A. What Are Mini-Libraries, and Why Are They Useful? 308
B. How Do You Research in Mini-Libraries? 309
  • Administrative materials in paper looseleaf services 309
  • Options for administrative materials research in electronic mini-libraries 318
C. What Else? 322
D. How Do You Cite to Mini-Libraries? 322

UNIT VI RULES OF PROCEDURE AND LEGAL ETHICS

Preliminary Points

CHAPTER 16 RULES OF PROCEDURE
A. What Are Rules of Procedure? 327
C. How Do You Research Rules of Procedure? 336
  • Rules of procedure 337
D. What Else? 340
E. How Do You Cite Rules of Procedure? 343
## CHAPTER 17  RULES OF PROFESSIONAL RESPONSIBILITY  344

A. What Are Rules of Professional Responsibility?  344  
C. How Do You Research Rules of Professional Responsibility?  350  
  • Legal ethics  352  
D. What Else?  363  
E. How Do You Cite Rules of Professional Responsibility?  363

## UNIT VII  CONCLUSION  365

### CHAPTER 18  DEVELOPING AN INTEGRATED RESEARCH STRATEGY  367

A. Introduction: The apARTment Problem  367  
B. The Research Journals  368  
  1. Researching for the Bank  369  
     a. Jennifer Henderson  369  
     b. Richard Soderberg  371  
  2. Researching for apARTment  372  
     a. Steve Brunn  372  
     b. Vickie Loher  375  
C. Our Observations  376

## RESEARCH SITUATIONS AND PRACTICE SETS  379

### RESEARCH SITUATIONS FOR UNIT I EIGHT COGNITIVE TASKS  
AND UNIT II SECONDARY AUTHORITIES  381

Practice Set for Chapter 2  
From Curiosity to Closure: Eight Cognitive Tasks  387  
Practice Set for Chapter 3  
Encyclopedias  391  
Practice Set for Chapter 4  
Treatises  393  
Practice Set for Chapter 5  
Legal Periodicals  397  
Practice Set for Chapter 6  
A.L.R. Annotations  401  
Practice Set for Chapter 7  
Restatements  405

### RESEARCH SITUATION AND BACKGROUND READING FOR  
UNIT III CASE LAW AND UNIT IV ENACTED LAW  
(STATE STATUTES)  409

First Practice Set for Chapter 9  
Paper Reporters and Digests  415
Second Practice Set for Chapter 9
  Electronic Research in Case Law 419
Practice Set for Chapter 10
  Case Citators 423
First Practice Set for Chapter 11
  State Paper Codes and Session Laws 427
Second Practice Set for Chapter 11
  Electronic Research in State Statutes 431

RESEARCH SITUATIONS AND PRACTICE SETS FOR UNIT IV
CHAPITERS 11 AND 12
ENACTED LAW (FEDERAL STATUTES) 435
  Third Practice Set for Chapter 11
    Federal Codes and Session Laws 439
  Practice Set for Chapter 12
    Legislative Process Materials 443

RESEARCH SITUATIONS AND PRACTICE SET FOR UNIT V
CHAPITERS 13, 14, 15
ADMINISTRATIVE MATERIALS 447
  Practice Set for Unit V
    Administrative Materials 451

RESEARCH SITUATION AND PRACTICE SET FOR UNIT VI
CHAPTER 16
RULES OF PROCEDURE 457
  Practice Set for Chapter 16
    Rules of Procedure 459

RESEARCH SITUATION AND PRACTICE SET FOR UNIT VI
CHAPTER 17
RULES OF PROFESSIONAL RESPONSIBILITY 463
  Practice Set for Chapter 17
    Rules of Professional Responsibility 465

Index 471